Approved for use through 10/31/2002. OMB 0651-00320 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 37974-0197

First Inventor Noel BARRETT et al. 

Title INACTIVATED INFLUENZA VIRUS VACCINE FOR NASAL OR ORAL APPLICATION

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Express Mail Label No. APPLICATION ELEMENTS Assistant Commissioner for Patents ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. 🔲 Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🔯 Specification a. Computer Readable Form (CRF) [Total Pages 16 (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or Cross Reference to Related Applications ii. D paper - Statement Regarding Fed sponsored R & D c. 
 Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention - Brief Summary of the Invention 9. 🔲 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings ( if filed) 10. 🔲 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) - Claim(s) - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) Drawing(s) (35 U.S.C.113) [Total Sheets 12. 🗌 Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages | 2 13. 🛛 **Preliminary Amendment** a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 Other: (1) Associate Power of Attorney (copy from prior application); (2) Copy of WO 00/47222 (PCT/AT00/00023) 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☑ Continuation ☐ Divisional Continuation-in-part (CIP) of prior application No: 09 / 913,400 Prior application information: Examiner Jeffrey J. Stucker Group / Art Unit: 1648 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS CUSTOMER NO. 26633 ☐ Customer Number or Bar Code Label or 

Correspondence address below (Insert Customer No. or Attach bar code label here) Name Address City State Zip Code Country Telephone Name (Print/Type) John P. Isacson Registration No. (Attorney/Agent) 33,715 Signature Date August 13, 2003



PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

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FEE	<b>TRANSMITTAL</b>
	for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

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Complete if Known Application Number To be assigned Filing Date August 12, 2003 First Named Inventor Noel BARRETT et al. Jeffrey J. Stucker Examiner Name 1648

TOTAL AMOUNT OF PAYMENT (\$) 750.00					Attorney Docket No. 37974-0197							
METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None					3. ADDITIONAL FEES							
☐ Deposit Account:												
Deposi Accour		641 (Da	ocket No.				Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee
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Deposi	t						1051	130	2051	65	Surcharge - late filing fee or oath	
Accoun Name		er Ehrma	n White	& McA	uliffe LLP		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
							1053	130	1053	130	Non-English specification	
l				check al	l that apply)		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
☐ Char	ge fee(s) in	dicated be	elow 🗵	Credit	any overpay	ments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Char	ge any addi	tional fee	(s) during	the pend	ency of this	application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	ge fee(s) in deposit ac		elow, exce	pt for th	e filing fee t	o the above-	1251	110	2251	55	Extension for reply within first month	
		FEE	CALCUL	ATION	·		1252	410	2252	205	Extension for reply within second month	
1. BASI	C FILING	FEE					1253	930	2253	465	Extension for reply within third month	
Large Fee	Entity Fee	Small Fee	Entity Fee	Fee I	Description	Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month	
Code	(\$)	Code	(\$)				1255	1,970	2255	985	Extension for reply within fifth month	
1001	750	2001	375	Utility	filing fee	\$750.00	1401	320	2401	160	Notice of Appeal	_
1002	330	2002	165	Design	filing fee		1402	320	2402	160	Filing a brief in support of an appeal	
1003	520	2003	260	Plant f	iling fee		1403	280	2403	140	Request for oral hearing	
1004	750	2004	375	Reissu	e filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005	160	2005	80	Provis fee	ional filing		1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) \$750.00					\$750.00	1453	1,300	2453	650	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE					E	1501	1,300	2501	650	Utility issue fee (or reissue)		
			Extra Cl		Fee from below	Fee Paid	1502	470	2502	235	Design issue fee	
Total Claims	6	-20**=	0	_ x _	=	-	1503	630	2503	315	Plant issue fee	
Independent Claims	3	-3** =	0	] x [	=		1460	130	1460	130	Petitions to the Commissioner	
Multiple D	ependent				=		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Fee	Entity Fee	Small Fee	Entity Fee		Fee Descrip	tion	1806	180	1806	180	Submission of Information Disclosure	
Code 1202	(\$) 18	Code 2202	( <b>\$</b> )	Claims	in excess of 2	20	8021	40	8021	40	Stmt	
				-							Recording each patent assignment per property (times number of properties)	
1201	84	2201	42	ındeper	ident claims i	n excess of 3	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1203	280	2203	140	Multipl paid	e dependent o	claim, if not	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1204	84	2204	42		ue independe ginal patent	ent claims	1801	750	2801	375	Request for Continued Examination (RCE)	
1205	18	2205	9	**Reiss	ue claims in original pat		1802	900	1802	900	Request for expedited examination of a design application	_
	SUBTO	ΓAL (2)		(\$)			Other fee	(specify)_			<u> </u>	
**or nun	iber previo	usly paid,	if greater		issues, see al	bove	* Reduce	d by Basic	Filing Fe	e Paid	SUBTOTAL (3)	\$)
**or number previously paid, if greater; For Reissues, see above   * Redu									(6)	* <i>!</i>		

SUBMITTED BY				Complete (i	f applicable)
Name (Print/Type)	John P. Isacson	Registra (Attorney	14.715	Telephone	(202) 912-2000
Signature	JAIP X	Date	August 13, 2003	Customer No. 26633	